

# REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, May 9, 2012, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson Justin Pitt. Attending the meeting were board members Patricia Heim, Darlene McNeece and Henry Fincher. Lee Anne Murray participated via telephone.

## ***Approval of Meeting Minutes***

On motion by Heim, seconded by McNeece, the board voted unanimously to approve the minutes from the March 14, 2012 regular board meeting.

## ***Show Cause Hearing***

***12-05 Kerry Roberts***, for failure to timely file a 2011 4<sup>th</sup> quarter campaign financial disclosure report. Class one (1), 14 days late, maximum three hundred fifty (\$350) civil penalty. Sen. Roberts has had no previous matters before the board.

The board voted at its March 14, 2012 meeting to issue Sen. Roberts a show cause notice.

Eric L. Jones, treasurer for Sen. Roberts, submitted a sworn statement and personally appeared before the board. Sen. Roberts personally appeared before the board and explained his failure to timely file the required report.

On motion by Fincher, seconded by Heim, the board voted unanimously to take no further action in this matter.

## ***Cases Subject to Approval of Civil Penalty Order***

***12-07 Frances Arthur***, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), ten days late, maximum two hundred fifty (\$250) civil penalty. Ms. Arthur has had no previous matters before the board.

The board voted at its March 14, 2012 meeting to issue Ms. Arthur a show cause notice.

Ms. Arthur personally appeared before the board and explained her failure to timely file the required report.

On motion by Fincher, seconded by Heim, the board voted unanimously to take no further action in this matter.

***12-06 Neil Revlett***, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), 16 days late, maximum four hundred (\$400) civil penalty. Mr. Revlett has had a previous matter before the board.

The board voted at its March 14, 2012 meeting to issue Mr. Revlett a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by McNeece, the board voted unanimously to assess Mr. Revlett a \$400 civil penalty.

**12-04 Dale Buchanan & Associates PAC**, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. The organization has had no previous matters before the board.

The board voted at its March 14, 2012 meeting to issue the organization a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by McNeece, the board voted unanimously to assess the organization a \$175 civil penalty.

**12-03 White County Democratic Party**, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. The organization has had no previous matters before the board.

The board voted at its March 14, 2012 meeting to issue the organization a show cause notice.

Kriste L. Dibrell, treasurer for the organization, submitted a check in the amount of \$275 along with a statement for the board's consideration.

On motion by Fincher, seconded by McNeece, the board voted unanimously to return the check and take no further action in this matter.

### ***Cases Considered for Issuance of Show Cause Notice***

**Adam Braeback**, for failure to timely file a 2012 1<sup>st</sup> quarter campaign financial disclosure report. Class one (1), nine days late, maximum two hundred twenty-five (\$225) civil penalty. Mr. Braeback has had no previous matters before the board.

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

**Sherry Jones**, for failure to file a 2012 Appointment of Political Treasurer statement. Class two (2), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. Rep. Jones has had previous matters before the board.

On motion by Heim, seconded by Fincher, the board voted unanimously to issue a show cause notice.

**Build PAC**, for failure to timely file a 2011 Year-End Supplemental campaign financial disclosure report. Class one (1), eight days late, maximum two hundred (\$200) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Madison County Democratic Executive Committee***, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. The organization has had previous matters before the board.

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Support Tennessee Grapes and Wines***, for failure to file a 2011 year-end supplemental campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board.

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Women in Numbers***, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), 30 days late, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Centurylink Inc. Employees PAC***, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action.

***Chattanooga Fire Fighters Assn. FIRE PAC***, for failure to timely file a 2011 year-end supplemental campaign financial disclosure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action.

***Paul Berney***, for failure to timely file a 2011 pre-primary campaign financial disclosure report with the Knox County Election Commission. Class one (1), 18 days late, maximum four hundred fifty (\$450) civil penalty. Mr. Berney has had previous matters before the board. (see below)

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Paul Berney***, for failure to file a 2011 3<sup>rd</sup> quarter campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed,

maximum ten thousand (\$10,000) civil penalty. Mr. Berney has had previous matters before the board. (see above and below)

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Paul Berney***, for failure to file a 2011 pre-general campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Berney has had previous matters before the board. (see above)

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Joel Bond***, for failure to file a 2011 year-end supplemental campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Bond has had no previous matters before the board.

Director Rawlins informed the board that the required report has now been filed with the Knox County Election Commission.

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

***Joe Hultquist***, for failure to file a 2011 4<sup>th</sup> quarter campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Hultquist has had no previous matters before the board.

On motion by Heim, seconded by McNeece, the board voted unanimously to issue a show cause notice.

### ***Other Business***

On motion by Heim, seconded by McNeece, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.